

01-23-02

Deepak Reg
Room 307

OFFICE OF PATENT
REFUND REQUESTS
Express Mail No.: EL 477 033 859 US

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of: Hwu

Application No.: 10/001,281

Filed: November 30, 2001

For: COMPOSITIONS AND METHODS FOR THE
TREATMENT OF CANCER



US PATENT & TRADEMARK
OFFICE

Group Art Unit: 1614

Examiner: Not Assigned

Attorney Docket No.: 9516-026-999

#41 Reg for
Refund
08-06-02

REQUEST FOR REFUND PURSUANT TO 37 C.F.R. § 1.28(a)

Assistant Commissioner for Patents
Washington, D.C. 20231

Attention: Refund Section, Accounting Division

Sir:

Applicant notes that a fee of \$1890.00 was paid on November 30, 2001 for the filing of the above-identified utility patent application (see attached Exhibit A). Applicant requests a refund of one half of the filing fees that were paid, *i.e.*, \$945.00. Submitted concurrently herewith is an Assertion of Small Entity Status for the assignee, the Memorial Sloan-Kettering Cancer Center, an entity having certain rights in the invention (see attached Exhibit B). Pursuant to 37 C.F.R. § 1.28(a), Applicant requests a refund of the excess amount within three months of its timely payment.

Accordingly, please refund the excess by crediting \$945.00 to Deposit Account No. 16-1150. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

Anthony M. Insogna Reg. No. 32,503
By: *Michael J. Brunner* Reg. No. 47,458
32,503

Anthony M. Insogna

(Reg. No.)

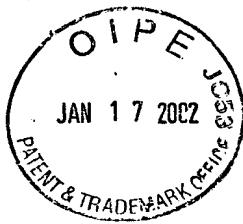
PENNIE & EDMONDS LLP

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New York, New York 10036-2711

(212) 790-9090

Enclosures



PENNIE & EDMONDS LLP

COUNSELLORS AT LAW
1155 Avenue of Americas
New York, N.Y. 10036-2711

ATTORNEY DOCKET NO. 9516-026-999Date: November 30, 2001

Assistant Commissioner for Patents
Box PATENT APPLICATION
Washington, D.C. 20231

Sir:

The following utility patent application is enclosed for filing:

Applicant(s): Wen-Jen HwuExecuted on: November 30, 2001Title of Invention: COMPOSITIONS AND METHODS FOR THE TREATMENT OF CANCER**PATENT APPLICATION FEE VALUE**

TYPE	NO. FILED	LESS	EXTRA	EXTRA RATE	Fee
Total Claims	45	-20	25	\$18.00 each	\$ 450.00
Independent	8	-3	5	\$84.00 each	\$ 420.00
				Minimum Fee	\$ 740.00
				Multiple Dependency Fee If Applicable (\$280.00)	\$ 280.00
				Total	\$ 1,890.00
				Applicant qualifies for the 50% Reduction for Independent Inventor, Nonprofit Organization or Small Business Concern	- \$ 0.00
				Total Filing Fee	\$ 1,890.00

DO NOT PUBLISH. I hereby certify that the invention disclosed in the attached application **has not and will not be the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen months after filing.** I hereby request that the attached application not be published under 35 U.S.C. 122(b).

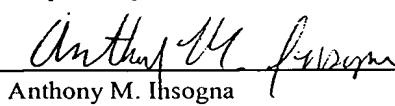
Priority of application no. 60/250,130 filed on December 1, 2000 is claimed under 35 U.S.C. § 119(e).

The certified copy of the priority application has been filed in application no. filed

Amend the specification by inserting before the first line the following sentence: This is a continuation-in-part of application no. filed .

Please charge the required fee to Pennie & Edmonds LLP Deposit Account No. 16-1150. A copy of this sheet is enclosed.

Respectfully submitted,

Anthony M. Ihsogna
PENNIE & EDMONDS LLP

By: Michael Ryan
Reg. No. 47,458
35,203
(Reg. No.)

Enclosure

This form is not for use with continuation, divisional, re-issue, design or plant patent applications.

Express Mail No. EL 477 032 717 US First Class Mail ()
Date Mailed November 30, 2001
Serial No. _____
Inventor Wen-Jen Hwu Filed November 30, 2001
For COMPOSITIONS AND METHODS FOR THE
TREATMENT OF CANCER

Affidavit/Declaration
 Amendment Response
 Application 55 pages
 45 claims — drawing sheets
 Assignment Cover Sheet
 Brief (in triplicate)
 Declaration & Power of Attorney
 Executed Unexecuted Copy
 Declaration of Inventor(s)
 Executed Unexecuted Copy
 Design Application
 Disclaimer Disclaimer Fee
 Disclosure Statement Form PTO-1449
 w/refs. w/o refs.
 Drawings, Formal

Fee Address Indication Form
 Fee Calculation (In duplicate)
 Issue Fee Transmittal
 Notice of Appeal
 Oral Hearing Request/Confirm
 Petition to Extend Time — month(s)
 Petition under 37 C.F.R. —
 Power of Attorney
 by Assignee Associate with Revocation
 Request for Correction of Filing Receipt
 Sequence Listing w/Computer Readable and Paper Copy
 Small Entity Statement
 Status Letter
 Transmittal Letter

Sheets Figures

Other: Utility Patent Application Transmittal

File No. 9516-026-999 Sender: AMJ / MLB

U.S. PTO
10/001281
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06

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